



more Application of:

Hua, et al.

Serial No.: 09/712,612

Filed: November 13, 2000

For: METHODS OF TREATING CATARACTS AND DIABETIC RETINOPATHY WITH TRICYCLIC PYRONES



: Group Art Unit: 1646

: Examiner: not assigned

*N Sector*

### TRANSMITTAL

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 26, 2001 included herewith are:

- Check in the amount of \$65 (\$65 declaration surcharge)
- Copy of Formalities Letter (Notice to File Missing Parts)
- Executed Joint Inventors' Declaration for Patent Application and Power of Attorney

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Susan K. Doughty  
Reg. No. 43,595

**GREENLEE, WINNER AND SULLIVAN, P.C.**  
5370 Manhattan Circle, Suite 201  
Boulder, Colorado 80303  
Phone: (303) 499-8080; Fax: (303) 499-8089  
Email: [Winner@Greenwin.com](mailto:Winner@Greenwin.com)

Attorney Docket No. 90-99  
nnr:April 9, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C., 20231	
Date	
Nina Reel	



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/712,612	11/13/2000	Duy H. Hua	90-99

23713  
 GREENLEE WINNER AND SULLIVAN P C  
 5370 MANHATTAN CIRCLE  
 SUITE 201  
 BOULDER, CO 80303

## CONFIRMATION NO. 9612

## FORMALITIES LETTER



\*OC00000005899450\*

Date Mailed: 03/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2001 EABUBAK1 00000060 09712612

01 FC:205

65.00 OP